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# Cryo-EM Structure of Dodecameric Vps4p and Its 2:1 Complex with Vta1p

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Received 10 August 2007; received in revised form 1 January 2008; accepted 4 January 2008 Available online 12 January 2008 The type I AAA (ATPase associated with a variety of cellular activities) ATPase Vps4 and its co-factor Vta1p/LIP5 function in membrane remodeling events that accompany cytokinesis, multivesicular body biogenesis, and retrovirus budding, apparently by driving disassembly and recycling of membrane-associated ESCRT (endosomal sorting complex required for transport)-III complexes. Here, we present electron cryomicroscopy reconstructions of dodecameric yeast Vps4p complexes with and without their microtubule interacting and transport (MIT) N-terminal domains and Vta1p co-factors. The ATPase domains of Vps4p form a bowllike structure composed of stacked hexameric rings. The two rings adopt dramatically different conformations, with the "upper" ring forming an open assembly that defines the sides of the bowl and the lower ring forming a closed assembly that forms the bottom of the bowl. The N-terminal MIT domains of the upper ring localize on the symmetry axis above the cavity of the bowl, and the binding of six extended Vta1p monomers causes additional density to appear both above and below the bowl. The structures suggest models in which Vps4p MIT and Vta1p domains engage ESCRT-III substrates above the bowl and help transfer them into the bowl to be pumped through the center of the dodecameric assembly.

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# Introduction

A common set of cellular machinery, the 'class E' vacuolar protein sorting (Vps) proteins, functions in vesiculation processes that accompany the final abscission stage of cytokinesis,<sup>1,2</sup> retrovirus budding,<sup>3–12</sup> and protein sorting at the late endosomal multivesicular body.<sup>13–16</sup> Most class E proteins function as subunits of three hetero-oligomeric endosomal sorting complexes required for transport (ESCRTs) that are recruited to different membranes to function in vesicle formation. Although their pre-

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cise functions are not yet fully understood, ESCRT-I and ESCRT-II form stable, discrete complexes that help concentrate ubiquitylated protein cargoes and recruit ESCRT-III subunits, whereas ESCRT-III and ESCRT-III-like proteins co-assemble on membranes and appear to function directly in protein sorting, membrane remodeling, and/or fission events. Finally, the ESCRT machinery is apparently recycled by Vps4, an ATPase that binds ESCRT-III subunits and is required for their release from the membrane.<sup>17,18</sup> As the only class E protein with enzymatic activity, Vps4 imparts directionality to the budding process and may also play a direct role in protein sorting and membrane fission. Vps4 ATPase activity is enhanced by association with a co-factor, Vta1p (called LIP5 in higher eukaryotes).

Vps4 proteins belong to the large family of AAA (ATPase associated with a variety of cellular activities) ATPases that are spread widely throughout all kingdoms of life (reviewed in Refs. 19–22). These enzymes typically assemble into oligomeric rings that use the energy generated by ATP hydrolysis to drive conformational changes that cause macro-

Abbreviations used: AAA, ATPase associated with a variety of cellular activities; cryo-EM, electron cryomicroscopy; ESCRT, endosomal sorting complex required for transport; MIT, microtubule interacting and transport; Vps, vacuolar protein sorting; FSC, Fourier shell correlation.

molecular assemblies to be remodeled. This activity has been adapted for numerous diverse biological functions, including vesicle formation; membrane fusion and transport; protein unfolding, disaggregation and refolding; DNA replication, recombination, and repair; transcription; apoptosis; cytoskeletal regulation; and organelle biogenesis. The hallmark of AAA proteins is a 200- to 250-amino-acid ATPbinding domain named the AAA "domain" or "cassette."

The two human Vps4 proteins (VPS4A and VPS4B) and the unique Vps4 protein of yeast (Vps4p) all share a common domain organization. The proteins start with a three-helical bundle termed the microtubule interacting and transport (MIT) domain that binds the C-termini of a subset of the ESCRT-III/ CHMP (changed multivesicular body protein) proteins<sup>23–27</sup> and is connected to the AAA cassette by what appears to be a semiflexible linker.<sup>28</sup> Crystal structures have revealed that the monomeric VPS4B and Vps4p AAA cassettes are composed of two domains, a large mixed- $\alpha/\beta$  domain and a smaller four-helix bundle.<sup>28,29</sup> These domains resemble other AAA cassettes, except that the four-helix bundle of Vps4 is split by the insertion of a small domain composed of a three-stranded  $\beta$ -sheet (termed the " $\beta$ domain") that forms the binding site for the Vta1p/ LIP5 co-factor.28

Vps4 proteins contain a single AAA cassette and are therefore classified as type I AAA proteins, whereas family members that contain two AAA cassettes in a single polypeptide, such as p97, VAT, NSF, ClpA, and ClpB, are classified as type II AAA proteins. Most type I AAA proteins, including ClpX, HslU, and katanin, form a single ring of six protomers.<sup>22</sup> Vps4 is unusual, however, in that it assembles into even larger complexes in the presence of ATP. Chemical cross-linking data suggested that the fully assembled Vps4 complex contained 10 subunits,18 whereas gel filtration chromatography and equilibrium analytical ultracentrifugation experiments suggested a complex of 10–12 subunits, with 12 being the best fit.28 It has therefore been proposed that Vps4 may form pentameric or hexameric double-ring structures, but structural data have been lacking. In the presence of ATP, Vta1p/LIP5 binds directly to the fully assembled Vps4 complex to form an even larger complex of unknown stoichiometry, with an estimated molecular mass of 1 MDa.28 Vta1p/LIP5 enhances the stability and ATPase activity of assembled  $\mbox{Vps4}^{30}$  and can also interact directly with the Vps60p/CHMP5 subset of ESCRT-III-like proteins.<sup>10,31,32</sup>

Over the last decade, major progress has been made in structural and functional studies of other AAA proteins that act on protein substrates,<sup>33</sup> including p97,<sup>34–40</sup> VAT,<sup>41,42</sup> NSF,<sup>43,44</sup> ClpB,<sup>45–47</sup> HslU,<sup>48–50</sup> ClpX, and ClpA.<sup>51–57</sup> These studies have revealed that AAA ATPase rings are typically hexameric but that other arrangements are also possible. For example, crystal structures have revealed that the clamp loader AAA ATPases form open pentameric rings.<sup>58</sup> Electron cryomicroscopy (Cryo-EM)

studies of ClpB indicated 6-fold symmetry in all nucleotide states,<sup>47</sup> but there are also reports based on sedimentation equilibrium experiments that ClpB is a heptamer in the apo state.<sup>59</sup> EM studies of HslU have reported both hexameric and hepta-meric rings,<sup>60</sup> while all available crystal studies suggest hexameric rings.<sup>48–50</sup> The Lon protease was reported to be a seven-membered ring<sup>61</sup> by EM but to be hexameric in subsequent biochemical, biophysical, and crystallographic studies.<sup>62–64</sup> EM reconstructions of the apoptosome protein Apaf-1 indicated both hexameric<sup>65</sup> and heptameric<sup>66</sup> configurations. RuvB is reported to be a heptamer in the absence of substrate DNA duplex but is converted to a hexameric ring when it binds short strands of duplex DNA, implying that substrate binding changes its oligomeric state.<sup>67</sup> Finally, dynein forms asymmetric single-polypeptide rings with seven lobes.68 Thus, the architecture of different AAA ATPases can vary considerably and must be determined experimentally.

To determine the architecture of Vps4 and to provide a basis for understanding how this complex enzyme functions, we imaged three Vps4p complexes by cryo-EM. The reconstruction of the smallest complex, formed by an N-terminally truncated Vps4p ( $\Delta$ N-Vps4p) comprising just the AAA ATPase domain, reveals a core structure of two stacked hexameric rings that adopt strikingly different conformations and together form a bowl. While this core is preserved in the larger structures of fullength Vps4p and a Vta1p–Vps4p complex, additional densities reveal the locations of the substrate-binding N-terminal domains and shed light on the interactions of Vps4p with Vta1p.

# **Results and Discussion**

#### Sample preparation

The Saccharomyces cerevisiae Vps4p E233Q point mutant was used for all cryo-EM reconstructions because this mutant protein binds but does not hydrolyze ATP, and it therefore forms stable higherorder Vps4p and Vta1p–Vps4p complexes in the presence of ATP.<sup>18</sup> Purified  $\Delta$ N-Vps4p, full-length Vps4p, and mixtures of Vta1p and Vps4p formed oligometric complexes in the presence of ATP and MgCl<sub>2</sub>, as analyzed by size-exclusion chromatography (Fig. 1). Vta1p and Vps4p were previously shown to form a large complex of undetermined stoichiometry.<sup>28</sup> To investigate the stoichiometry, we mixed purified Vta1p and Vps4p in different ratios, purified the resulting complexes by gel filtration, and analyzed the molar ratios of the two proteins in each fraction using SDS-PAGE and standard curves of known protein concentrations (Fig. 2). As shown in Fig. 2a, Vta1p and Vps4p formed a single discrete complex when mixed in a 1:2 molar ratio (black curve). The expected 1:2 Vta1p/Vps4p ratio in this purified complex was confirmed by SDS-PAGE and



**Fig. 1.** Gel filtration analyses of multimeric Vps4p complexes. Multimeric  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes were isolated by size-exclusion chromatography (Superose 6) in buffer A (100 mM NaCl, 25 mM Tris–HCl, pH 7.5, 2 mM MgCl<sub>2</sub>, 1 mM ATP, and 1 mM DTT). Elution positions of molecular mass standards are shown below each chromatogram.

densitometry (Fig. 2b). An equimolar mixture of Vta1p and Vps4p also produced the same 1:2 complex (Fig. 2a, red curve), but in this case, there was also a second peak composed of unbound Vta1p (Fig. 2a and c). Furthermore, the apparent size of the Vta1p–Vps4p complex did not shift to a higher mass, even when the two proteins were mixed in a 3:1 ratio. We therefore conclude that Vta1p and Vps4p form a complex of ~1:2 stoichiometry, even when Vta1p is present in excess.

In our initial attempts to visualize Vps4p complexes using cryo-EM, the samples were simply plunge-frozen and imaged. Unfortunately, the process of plunge-freezing the samples in thin films across EM grids destabilized the native protein complexes, presumably when they came in contact with the air-water interface. Consequently, most regions of the cryo-EM grids displayed clear ice devoid of protein, while a few were crowded with what appeared to be protein aggregates, and no intact, regular large protein complex was seen (Fig. 3, top). The complexes were chemically cross-linked with 0.02% glutaraldehyde and repurified by sizeexclusion chromatography (Fig. S1a) to stabilize the protein assemblies for cryo-EM. The elution profiles were only slightly perturbed, indicating that, at least for the peak fractions used for imaging, the crosslinking procedure did not change the oligomeric state or essential structure of the complexes. SDS-PAGE analysis of the three protein complexes showed that the cross-linking was sufficient to covalently link nearly all the subunits of the complexes



**Fig. 2.** The Vta1p–Vps4p complex exhibits 1:2 stoichiometry. (a) Size-exclusion chromatography of the complexes formed when Vta1p and Vps4p were mixed at molar ratios of 1:2 (black trace), 1:1 (red trace), and 3:1 (green trace). Samples were chromatographed on a Superdex-200HR column in the presence of 1 mM ATP. For reference, elution positions of size standards and of free Vps4p and Vta1p proteins are shown above (arrows). (b and c) SDS-PAGE analyses (top) and associated protein quantities (bottom) for fractions spanning the Vps4p–Vta1p complex peaks shown in (a) for the (b) 1:2 and (c) 1:1 mixtures.



(Fig. S1b and c). After cross-linking, individual, regular large protein complexes were routinely observed on the EM grids (Fig. 3, bottom).

## The Vps4p oligomer displays 6-fold symmetry

The symmetry of the fully assembled Vps4p complex has not been unambiguously determined, and both double-ring pentamers (i.e., decamers)<sup>18</sup> and hexamers (i.e., dodecamers)<sup>28</sup> have been proposed. We therefore used three independent methods to look for rotational symmetries in the projection images of the three separate complexes. First, a two-dimensional classification procedure (the

**Fig. 3.** Cryo-EM images of fulllength Vps4p. Before cross-linking, most regions of the cryo-grid had clear ice devoid of protein, while other regions were filled with what appeared to be protein aggregates (top). After cross-linking (bottom), individual large protein complexes were routinely seen (examples of particles are encircled). The hexagon encloses one complex with visible hexagonal symmetry. The scale bar represents 100 nm.

EMAN program *refine2d.py*) was used to separate each set of images into 50 classes such that related projection images were assigned to the same class.<sup>69,70</sup> Figure 4 shows the 18 resultant class averages most closely corresponding to "top" views for the three complexes. Six protruding densities can be recognized around the periphery in all 18 class averages of each complex, whereas none of the single class averages indicated 5- or 7-fold symmetry.

The second method to determine the symmetry of the complexes used a different EMAN program (*startcsym*), which deliberately searches for particles that exhibit a particular symmetry. The underlying algorithm simply rotates a particle by 360/n deg and



**Fig. 4.** Top views reveal 6-fold symmetry. Select class averages of (top)  $\Delta$ N-Vps4p, (middle) full-length Vps4p, and (bottom) Vta1p–Vps4p complexes are shown. All the raw images were iteratively classified based on their similarity using singular value decomposition by *refine2d.py*. Particles within the same class were aligned and averaged to generate 50 class averages. The 18 class averages most closely corresponding to "top" views are shown, all of which suggest 6-fold symmetry.

cross-correlates it with the original particle. For n = 5, 6, and 7, the 90 most *n*-fold-symmetric particles were picked, aligned, and averaged, albeit without applying any symmetry. Insets a-c in Fig. 5 show these averages for the full-length Vps4p images. By visual inspection, even the most 5- and 7-fold-like particles produced averages that appeared 6-fold symmetric. For a more quantitative comparison, the rotational power spectrum of each 5-, 6-, and 7-fold-like average was calculated. The only clear peak occurred in the spectra of the 6-fold-like average (data not shown). The same analysis for the most 5-fold-like or the most 7-fold-like particles showed only small signals, with 5- and 6-fold or 7- and 6-fold values displaying approximately equal magnitudes (not shown). Finally, the average rotational power spectrum of the combined set of all 270 particles picked for the 5-, 6-, and 7-fold-like averages was calculated, which showed a single strong peak corresponding to 6-fold symmetry (shown for the full-length Vps4p complex in Fig. 5). Similar results were obtained for the  $\Delta$ N-Vps4p and Vta1p–Vps4p complexes (data not shown).

As a third independent method, the program RotaStat,<sup>71</sup> which reports the relative likelihood of different symmetries within a set of images in different radial bands, was used. Applying RotaStat to the combined data set of 270 particles in Fig. 5a–c



**Fig. 5.** Directed symmetry search. The EMAN program *startcsym* was used to search the particle gallery to find the images that were most apparently  $C_n$ -fold (n=5, 6, 7) symmetric. Three classes containing the 90 most 5-fold (a), 6-fold (b), and 7-fold (c) symmetric particles were aligned and averaged. Even the most 5- and 7-fold symmetric particles appear 6-fold symmetric. The averaged rotational power spectrum of all 270 particles is also shown, with a clear peak indicating 6-fold symmetry. Further statistical analysis by RotaStat also overwhelmingly favored 6-fold symmetry (not shown).

showed clearly that 6-fold symmetry was the most significant (the "spectral ratio product" was 33 orders of magnitude higher for C<sub>6</sub> symmetry than the next most likely symmetry). Similar results were obtained for the  $\Delta$ N-Vps4p and Vta1p–Vps4p images. These three analyses demonstrate that, like most AAA proteins, Vps4p complexes are 6-fold symmetric; they also demonstrate that if any Vps4p particles assembled with either 5- or 7-fold symmetry in this analysis, their numbers were negligible.

## **Three-dimensional reconstructions**

Three-dimensional reconstructions were generated from the images using standard "singleparticle" methods and imposing 6-fold symmetry (see Fig. S2 for Euler angle distributions and Figs. S3–S5 for comparisons of final class averages and corresponding model projections for all three reconstructions). The Fourier shell correlation (FSC) curves are shown in Fig. S6, and the estimated resolutions (FSC=0.5) are 25, 34, and 38 Å for the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes, respectively. The N-terminal region of Vps4p contains an MIT domain (residues 1–79), followed by a linker region that is poorly ordered in solution (residues 80–121).<sup>26,28,29</sup> This region of the protein is therefore likely to be at least partially disordered in the reconstruction. The adaptor protein Vta1p consists of three regionsan N-terminal segment that binds Vps60p,<sup>10,31,32</sup> a C-terminal Vta1/SBP1/LIP5 (VSL) domain that dimerizes and binds Vps4p,<sup>30</sup> and an intervening linker that is likely to be in a disordered or an extended conformation (W.I.S., unpublished data). Thus, the resolutions obtained for the different complexes correlate with the expected degree of disorder, although it is unclear what effect crosslinking may have had on domain flexibility, since intrinsically flexible domains could have been stabilized by cross-linking and their visibility in averages would depend on how uniform their (cross-linked) positions were. FSC analysis requires splitting a data set into halves and comparing the corresponding half-data-set reconstructions. To check whether the resolutions obtained were limited by particle numbers or heterogeneity, we further split the Vta1p-Vps4p data set into quarters and carried out four independent reconstructions. These reconstructions (Fig. S7) and their FSC curves show that the heterogeneity of the particles, rather than the total number of images included, is the principal resolution limitation.

# Structures of $\Delta N$ -Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes

As shown in Fig. 6, the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes all display distinctive bowl-like structures with a central cavity in the upper half. The dimensions of the Vps4p assemblies match the double-hexameric ring structures of NSF<sup>44</sup> and ClpB<sup>47</sup> reconstructed by cryo-EM



**Fig. 6.** Three-dimensional cryo-EM reconstructions of  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes. Isosurfaces are shown, contoured to enclose the full expected molecular masses of the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes. All three complexes form bowl-like structures with a central cavity (asterisk) in the upper half, suggesting that the top and bottom rings are in very different conformations. The presence of the N-terminal MIT domain in full-length Vps4p causes a nipple (arrow) to appear above the cavity and six small fin-like densities (arrowheads) around the lower ring. The binding of Vta1p produces additional density mainly over and around the nipple and beneath the bottom ring. The scale bar represents 50 Å.

as well as the crystal structure of p97.<sup>37</sup> These morphological similarities indicate that, unlike the other single-ring type I AAA ATPases, Vps4p assembles into a stack of two rings, like type II AAA ATPases. Taken together with the 6-fold symmetry, Vps4p is thus a dodecamer, and its complex with Vta1p must have a stoichiometry of 6:12. When contoured to enclose their predicted molecular masses (446, 578, and 824 kDa for the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p complexes, respectively), all three complexes appeared as single continuous objects without detached densities. As explained and shown later, the boundaries of the inner cavities of the three complexes at these contours matched remarkably well, especially in the bottom, suggesting that the presence of the N-terminus and Vta1p had little impact on that feature.

The  $\Delta$ N-Vps4p complex was ~140 Å across at its broadest point and ~80 Å high. Remarkably, the top and bottom rings were in strikingly different conformations: the top ring contained a large central cavity ~50 Å wide (asterisk in Fig. 6), while the bottom ring appeared closed, at least at this contour. The proposed VPS4B hexamer model,<sup>28</sup> which was based on the crystal structures of a VPS4B monomer and the p97 D1 ring, was placed into the lower half of the  $\Delta$ N-Vps4p reconstruction (Fig. 7) to compare relative dimensions and shapes. The Vps4p reconstruction was approximately twice as tall as the model, and the shape of the lower, but not the upper, ring in the reconstruction was consistent with the model's "closed" conformation. No further conclusion (e.g., the possible orientation of the atomic model in the lower ring) was justified at this resolution.

The full-length Vps4p complex had roughly the same dimensions as  $\Delta$ N-Vps4p. The most obvious difference was that the full-length Vps4p contained an ~35-Å-long nipple-like structure (arrow in Fig. 6) above the inner cavity. Compared with the  $\Delta$ N structure, there were also six extra fin-like densities (arrowhead in Fig. 6) protruding from the bottom ring. The widest diameter of the bottom ring (fin tip to fin tip) in the full-length Vps4p complex was ~145 Å.

The Vta1p–Vps4p complex exhibited an inner cavity that matched that of the full-length Vps4p complex almost perfectly in both size and shape. Three peripheral features were significantly enlarged, however: the nipple-like structure grew taller and thicker, the central portion of the bottom ring was thicker, and the fins on the bottom ring were thicker and extended further such that the tip-to-tip distance of the bottom ring was ~165 Å. The



**Fig. 7.** Isosurface comparisons. (a) Full-length Vps4p contoured at 578 kDa (continuous purple line) and 446 kDa (dashed purple line) superimposed on  $\Delta$ N-Vps4p contoured at 446 kDa (blue line). The additional densities above the bowl and in the fins persist at both contours, indicating they are significant. (b) Vta1p–Vps4p complex contoured at 824 kDa (continuous yellow line) and 578 kDa (innermost dashed yellow line) superimposed over the full-length Vps4p at 578 kDa (purple line). Compared with the full-length Vps4p, the Vta1p–Vps4p complex has significant extra densities around and over the nipple above the top ring and beneath the center of the bottom ring. (c and d) Bottom and side views of the previously proposed model of hexameric VPS4B placed into the bottom ring of the full-length Vps4p reconstruction contoured at 578 kDa.

combined height of the top ring and the bottom ring (excluding the height of the nipple) was  $\sim$  95 Å, and the height of the nipple was  $\sim$  55 Å.

## Isosurface comparisons

When the  $\Delta N$ - and full-length Vps4p complexes were contoured to enclose their predicted molecular masses, aligned, and superimposed, the additional densities were clearly seen to be in the nipple (Fig. 7a). However, an important control in analyses of subcomplexes and decorated structures is a comparison of the more massive complex contoured so conservatively that it only encloses the volume expected for the less massive subcomplex. New features in a "decorated" structure that persist even at the unrealistically conservative contour of the "core" structure are more likely to be significant than features that shrink back gradually to match the original surface of the core. When the full-length structure was contoured more conservatively to enclose only the expected volume of the  $\Delta$ N-Vps4p structure, both the nipple and the fins persisted, although decreased in size. Importantly, the thickness of the bottom of the "bowl" was very nearly the same, indicating that steep gradients exist there in the three-dimensional reconstructions and that these boundaries are therefore useful landmarks for aligning the two structures.

Similarly, two contours of the Vta1p–Vps4p complex reconstruction were considered in detail (Fig. 7b), with one contour enclosing the full predicted molecular mass (824 kDa) and the other contour being extremely conservative, corresponding to the molecular mass of just the full-length Vps4p complex (578 kDa). Compared with full-length Vps4p, the Vta1p–Vps4p complex had significant extra densities around and over the nipple above the top ring and beneath the center of the bottom ring. These extra densities diminished but did not disappear even when the Vta1p–Vps4p complex was contoured at the exceedingly conservative level of 578 kDa.

#### Difference maps

Difference maps were also inspected. First, the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p maps were each normalized to have a mean of zero and a standard deviation of one. Three difference maps were then obtained by subtracting  $\Delta$ N-Vps4p from the full-length Vps4p, full-length Vps4p from the Vta1p–Vps4p, and  $\Delta$ N-Vps4p from the Vta1p–Vps4p (Fig. 8). As a control, the "internal" differences between the two half-maps of each reconstruction were also calculated (Fig. S8). Inspection of the difference map histograms (Fig. S9) suggested that the positive differences beyond approximately  $+3.5\sigma$  did in fact reflect real new protein densities. Strong positive differences between the full-length and  $\Delta$ N-Vps4p maps were seen in the location of the nipple, the bottom-ring fins, above the top-ring shoulders, and high above the nipple disconnected from any other density (Fig. 8a). Of these, the appearance of the nipple is most likely to represent real new ordered protein, since it was large, continuous with the main body of the complex, and enclosed by all reasonable isosurfaces for the fulllength complex and there was no comparable noise in that location in the "control" maps (Fig. S8). As a further internal control, there were a few voxels with negative differences at the  $-3.5\sigma$  significance level (not shown), but none was enclosed by any reasonable isosurface of either the  $\Delta$ N- or the full-length Vps4p complex.

The differences between the Vta1p–Vps4p complex and the full-length Vps4p complex were simpler (Fig. 8b). Only two major densities appeared above  $+3.5\sigma$ , one above and around the nipple and the other beneath the bottom ring. Both were likely to be real and significant because they were connected to the main body of the complex, enclosed by all reasonable isosurfaces of the Vta1p-Vps4p complex, and there was no comparable noise in the control maps. The small extra densities on the fins and shoulders predicted by the isosurface comparisons (i.e., Fig. 7b) were missing in the difference maps at this significance level  $(+3.5\sigma)$ . Indeed, they did not appear until the significance level was dropped to +1.5 $\sigma$  (data not shown), at which point so many other voxels were also enclosed that the map was judged to be noise. As the internal control, no density was seen below  $-3.5\sigma$ .

The differences between the Vta1p-Vps4p complex and the  $\Delta$ N-Vps4p complex (Fig. 8c) resemble the differences between the Vta1p-Vps4p complex and the full-length Vps4p complex (Fig. 8b), with strong nipple-like density above the cup in the top ring and strong density underneath the center of the bottom ring. The size of the extra nipple density in Fig. 8c is like the sum of the extra nipple densities in Fig. 8a and b, as expected. Figure 8c also serves as an independent check on which differences in Fig. 8a are most likely to be meaningful: in principle, the differences between Fig. 8b and Fig. 8c should be the same as those shown in Fig. 8a. In both cases, the emergence of the nipple was consistent, but the smaller differences did not match and are therefore less reliable.

## Comparison with other AAA ATPases

The oligomeric state of most type I (e.g., katanin, ClpX, and HslU) and type II (e.g., p97, NSF, ClpA,



**Fig. 8.** Difference maps. (a) Full-length Vps4p minus  $\Delta$ N-Vps4p, (b) Vta1p–Vps4p minus full-length Vps4p, and (c) Vta1p–Vps4p minus  $\Delta$ N-Vps4p maps contoured at 3.5 $\sigma$  above the mean, superimposed on the three-dimensional reconstructions of the corresponding subcomplex. The largest difference densities in (a) are shown in purple, while those in (b) and (c) are shown in yellow. Additional smaller (shaded purple) or disconnected (gray) difference densities are also seen.

and ClpB) AAA ATPases contains six subunits, with type I hexamers forming a single ring and type II hexamers adopting a double-ring structure in which each of the two AAA domains forms a separate ring. Figure 9 shows published cryo-EM reconstructions of ClpB,<sup>47</sup> NSF,<sup>44</sup> p97–p47,<sup>39</sup> and p97<sup>35</sup> together with our full-length Vps4p complex. (Note: We reversed the original assignment of rings in the Wilson-Kubalek p97 structure to make it consistent with the others.<sup>35,36,39</sup>) The five complexes were presumably all in the ATP or its analogue AMP-PNPbinding state, although it is unclear which, if any, of the ATPs have already been hydrolyzed in the stable hexameric complexes.<sup>38</sup> Note that the p97-p47 complex in Fig. 9 is composed of a p97 hexamer and a p47 trimer, and the p47 trimer is suggested to be the plug-like density located around the symmetry axis over the top ring.<sup>39</sup> Similarly, the NSF structure also contains the NSF adaptor protein  $\alpha$ SNAP and substrate SNARE, which are all attributed to be the big bump-like density around the central axis over the top ring.<sup>44</sup> In the ClpB structure, the long spokes extending sideways out of the top ring are believed to be the coiled-coil M domain, unique to ClpB and absent in other AAA proteins.45 The clear morphological similarities between Vps4p and the type II AAA ATPases constitute compelling evidence that the Vps4p complex is also a double ring.

As seen for Vps4p, the three type II AAA ATPases shown in Fig. 9 display very different conformations for their top and bottom rings. ClpB most closely resembles Vps4p, with the bottom ring closed and the top ring wide open with a large cavity. Because the top and bottom rings of type II AAA ATPases are formed by two AAA domains, some structural differences between the rings are to be expected. Indeed, all of the structures for type II AAA hexamers determined by cryo-EM, X-ray crystallography, or small-angle X-ray scattering<sup>34–38,44,45,57,72,73</sup> confirm that the two rings are structurally different, although the extent of this difference varies. In contrast, the two rings of the Vps4p complex are formed by exactly the same protein protomer, so the pronounced difference in the two Vps4p rings is noteworthy. It is possible, however, that the different conformations of the two rings reflect that the two rings are in different nucleotide conditions, despite the presence of a 1000-fold molar excess of ATP- $\gamma$ S during the cross-linking step.

## N-terminal domain

The N-terminal domains that precede AAA domains are usually responsible for substrate recognition and binding.<sup>74</sup> The Vps4 N-terminus consists of a flexible linker and an MIT domain that is dispensable for ATP-dependent oligomerization and ATP hydrolysis in vitro. The MIT domain is required for recruitment of Vps4p to endosomal membranes,  $^{18}$  and it forms a three- $\alpha$ -helix bundle that interacts directly with the C-terminal helices found on a subset of ESCRT-III proteins.<sup>25–27</sup> While the common bowl-like "core" structure was seen in both the  $\Delta N$ - and full-length Vps4p reconstructions, the presence of the N-terminal domains in the fulllength Vps4p structure caused a prominent nipplelike density to appear above the top ring on the symmetry axis. We interpret this new density to consist mainly of the MIT domains of the top ring, which would be positioned to bind ESCRT-III substrates directly above the cavity.

The six N-terminal domains of double-ring type II AAA ATPases are flexible and typically do not show up in averaged cryo-EM reconstructions. In those cases where the N-terminal domains have been immobilized, however, they were located above or around the D1 (top) ring. For example, the NSF N-terminal domain was suggested to lie above the



**Fig. 9.** Comparison of full-length Vps4p with cryo-EM reconstructions of type II AAA proteins. Full-length Vps4p, ClpB (Electron Microscopy Database ID 1243), NSF– $\alpha$ SNAP–SNARE (Electron Microscopy Database ID 1059), p97–p47 (Electron Microscopy Database ID 1191), and p97 (from E.M. Wilson-Kubalek) maps, all in presumably ATP-bound states, contoured at their full estimated molecular masses. The D2 rings of the type II AAA proteins face the viewer in the top row and comprise the lower rings in the bottom row.

D1 ring in a cryo-EM reconstruction<sup>44</sup> and the N-terminal domain of the P97 hexamer was found both above the D1 ring<sup>36</sup> and around the D1 ring<sup>35,37</sup> in cryo-EM and crystal structures. Similarly, cryo-EM reconstructions of ClpB found that its Nterminal domain was flexible, but, nevertheless, six small protrusions around the central pore above the D1 ring were suggested to be the beginning of the flexible linker connecting the N-terminal domain to the D1 ring.<sup>47</sup> Thus, our assignment of the nipple-like density as the N-terminal domain over the top ring in the full-length Vps4p is consistent with these earlier reports. It is possible, however, that the flexible Ndomains diffusing around the symmetry axis were cross-linked together, giving them an unnaturally well-ordered appearance. At  $3.5\sigma$ , the molecular mass of the nipple-like density in Fig. 8a was estimated to be 45 kDa, corresponding to  $\sim$  68% of the mass of six N-terminal domains (each N-domain is  $\sim 11$  kDa).

## Vta1p

The Vta1p co-factor interacts directly with Vps60p and with Vps4p, promoting Vps4p oligomerization and stimulating ATP hydrolysis.<sup>10,30–32,75</sup> The amino acid sequence of Vta1p and recent NMR experiments indicate that purified Vta1p is highly extended, with ordered N- and C-terminal regions joined by a long flexible linker (W.I.S., unpublished data). The C-terminal VSL domain (40 residues) forms a dimer of helical hairpins<sup>30</sup> (W.I.S., unpublished data), and this domain alone is necessary and sufficient for Vps4p binding, although it does not stimulate ATP hydrolysis as well as full-length Vta1p,<sup>30</sup> indicating that other Vta1p regions likely also contact Vps4p. Mutations in Vps4p  $\beta$  domain inhibit Vta1p binding,<sup>28</sup> suggesting that the VSL dimer may contact the Vps4p β domain.

Unfortunately, the resolution of our Vta1p–Vps4p reconstruction was not sufficient to locate or identify specific domains of Vta1p, but it did show that as when pure, in complex with Vps4p, the six Vta1p's are not highly ordered globular proteins because new globular densities large enough to account for the entire mass of Vta1p were not observed. Instead, addition of Vta1p increased the height and width of the nipple-like density above the bowl and added strong new density below the bottom ring  $\sim 85$  Å away. At 3.5 $\sigma$ , the additional molecular mass of the nipple-like density in Fig. 7b was estimated to be 40 kDa, which accounts for only 32% of the mass of six Vta1ps (each Vta1p is  $\sim$  41 kDa). One possibility is that the C-terminal VSL domains account for some of the extra density seen below and around the bottom ring of the Vta1p-Vps4p complex, near where the  $\beta$  domains of the bottom ring are expected (Fig. 7), where they might help stabilize the complex and stimulate ATP hydrolysis (see discussion of the role of the two rings below). The N-termini of Vta1p, which are known to interact with the ESCRT-III-like protein Vps60p, might then account for the additional density above the bowl, in a position to help the Vps4p MIT domains recruit and direct substrate into the central cavity. In any case, our finding that there are only six Vta1p subunits in the Vta1p–Vps4p complex is consistent with the notions that the two Vps4p rings are in different conformations and that only half the Vps4p molecules present the correct interface to bind Vta1p. The three symmetric Vta1p dimers expected could not, however, conform strictly to the 6-fold symmetry seen at this resolution, which might have obscured the relatively small contribution of their ordered domains. Higher-resolution structures will clearly be needed to resolve these ambiguities.

## Implications for mechanism

The two rings in type II AAA ATPases may play different functional roles. While the D1 ring (top ring in Fig. 9) of NSF is the main site of ATP hydrolysis, the D2 ring (bottom ring in Fig. 9) exhibits little hydrolysis activity.<sup>76,77</sup> It has therefore been suggested that the D2 ring plays a mainly structural role by holding the complex together and that the D1 ring disassembles SNARE complexes through ATP hydrolysis-driven conformational changes (for a review, see Whiteheart *et al.*<sup>78</sup>). Interestingly, the roles of the two rings in p97 appear to be reversed with respect to NSF.37 In the various cryo-EM reconstructions of ClpB throughout the ATP hydrolysis cycle, the top (D1) ring undergoes significant conformational changes, while the bottom (D2) ring stays relatively uniform.47 The roles of the two rings in Vps4p are still unknown, but the asymmetric nature of the two rings shared by Vps4p and the type II AAA proteins suggests that their mechanisms may be similar. The ClpA, ClpB, and ClpX rings unfold protein substrates by translocating them through the central pore of the hexamer.46,51-53,55,79 Similarly, key residues around the core of the p97 D2 hexameric ring block activity,<sup>40</sup> and alanine substitution mutations in the pore I residues predicted to reside in the central channel of Vps4 impair HIV budding in vivo.<sup>28</sup> It is therefore likely that Vps4 also disassembles ESCRT-III assemblies by feeding them through the central cavity of the lower ring.

As noted above, a plausible model for the bottom ring of Vps4 has been proposed based on comparisons with the structurally characterized D1 ring of p97.28 In contrast, the conformation of the upper ring must be quite different. Comparison of upper and lower rings gives the impression of large-scale domain/subunit reorientations, as have been seen previously for the protein rings in the group I chaperonin, GroEL, where the apical domains of each identical subunit twist by 90° from the open substrate-binding state to the closed substratefolding state.<sup>80–83</sup> Although the details remain to be determined, the open conformation of the upper ring of Vps4 suggests that this ring may function to create a large central chamber that can envelope ESCRT-III subunits in the course of removing them from their membrane-bound lattice.

# **Materials and Methods**

#### Protein expression and purification

Full-length *S. cerevisiae* Vps4p E233Q,  $\Delta$ N-Vps4p (residues 104–437) E233Q, and Vta1p were expressed and purified as previously described.<sup>28</sup> Briefly, proteins were expressed from a pET151 vector (Invitrogen) and auto-induced in BL21 codon + *Escherichia coli* cells in ZY media (37 °C for 6 h and 21 °C overnight). All three proteins were purified by Ni<sup>2+</sup> Sepharose, Q-Sepharose, and Superdex-200 column chromatography. The histidine tag was removed by incubation with TEV protease (~1 mg/100 mg protein, 20 h, at 4 °C), and the mixture of cleaved and uncleaved Vps4p or Vta1p proteins was separated by Ni<sup>2+</sup> Sepharose chromatography. The cleaved protein was collected in the flow-through, dialyzed into 25 mM Tris, pH7.4, 100 mM NaCl, and 1 mM DTT, concentrated, and used directly for cross-linking.

## Analysis of the Vta1p-Vps4p complex

Vps4p and Vta1p were mixed at molar ratios of 2:1, 1:1, and 1:3 in the presence of ATP, and the resulting complexes were isolated by size-exclusion chromatography (as described above). Fractions corresponding to the Vta1p– Vps4p and free Vta1p complexes were subjected to SDS-PAGE; the component proteins were visualized by Coomassie Blue staining, and their band intensities were quantified and analyzed by densitometry on an Odyssey fluorescence scanner (Li-Cor, Lincoln, NE) using the Odyssey scanning software. Absolute protein concentrations were determined by comparing band intensities with standard curves made from known concentrations of pure Vps4p and Vta1p.

#### **Cross-linking**

Oligomeric Vps4p and Vta1p–Vps4p complexes were isolated by size-exclusion chromatography (Superdex-200HR) in buffer A (100 mM NaCl, 25 mM Tris–HCl, pH 7.5, 2 mM MgCl<sub>2</sub>, 1 mM ATP, and 1 mM DTT) and cross-linked with 0.02% glutaraldehyde at a protein concentration of 20  $\mu$ g/ml in 0.1 M potassium acetate, 2 mM magnesium acetate, 20 mM Hepes, pH 7.4, and 1 mM ATP following the protocol reported for ClpB.<sup>45,47</sup> After 20 min of incubation at room temperature, the reaction was quenched by addition of an equal volume of 1 M glycine. The cross-linked complexes were concentrated and reanalyzed by size-exclusion chromatography in buffer A without ATP and MgCl<sub>2</sub>, and peak fractions were collected for EM analysis.

### **Cryo-EM data collection**

Vps4p solutions (4  $\mu$ l) at a concentration of 0.3 mg/ml were applied to holey carbon film-covered copper grids, and excess solution was blotted away with filter paper in a 100% relative humidity chamber. Grids were plunged into liquid ethane using a Vitrobot and transferred to 300 kV of an FEI G2 Polara transmission electron microscope equipped with a field emission gun and an energy filter. Projection images were recorded on a charge-coupled device (2 K×2 K) under low-dose conditions at a nominal magnification of 50,000×, which resulted in a pixel size of 4.6 Å on the charge-coupled device. The

images were taken with a range of defocuses from 2.5 to 5.5  $\mu m$  of underfocus.

# Image processing and three-dimensional reconstruction

Particle selection, contrast transfer function correction, and three-dimensional reconstruction were done using the EMAN software suite.<sup>69</sup> First, the power spectrum of each digital image was calculated, and only those images without drift were used for further analysis. Particles were picked manually using *boxer*: 9593, 4190, and 4445 particles were picked for the  $\Delta$ N-Vps4p, fulllength Vps4p, and Vta1–Vps4p complexes, respectively. The defocus of each image and the corresponding contrast transfer function were determined using *ctfit*. Phase corrections were applied to all particles. Symmetry analyses were performed as described in the main text.

The first three-dimensional reconstruction was of the full-length Vps4p complex. A reference-free initial model was generated using startnrclasses and startAny. Specifically, all the projection images were divided into 50 classes based on their similarity, and particles within each class were aligned and averaged to generate class averages. Eight representative class averages were chosen to build an initial three-dimensional model using the crosscommon line method. Projections of this initial model were calculated to reclassify the particles and generate new class averages and a new three-dimensional model. This process was repeated iteratively until the model converged. Six-fold symmetry was imposed throughout the reconstruction process. A second reference-free initial model was produced using a different set of class averages and converged to essentially the same result. The first refined full-length Vps4p model was then used as the initial model to reconstruct the  $\Delta$ N-Vps4p and Vta1p-Vps4p complexes. As controls, multiple reference-free models of the  $\Delta$ N-Vps4p and Vta1p–Vps4p complexes were also calculated as described above for full-length Vps4p, and an additional model of the full-length Vps4p structure was produced using one of these ab initio models of  $\Delta N$ -Vps4p as an initial reference. All the various models of  $\hat{\Delta}$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p confirmed that the complexes assembled as two stacked hexameric rings with a large central cavity in the top ring. In the final reconstructions, 4775, 1506, and 3497 particles were included for the  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p-Vps4p complexes, respectively. The contour levels (thresholds) used for surface representations were estimated by assuming  $0.81 \text{ Da}/\text{Å}^3$  (1.35 g/ml). The isosurface maps in Figs. 6, 8 and 9 were produced using the UCSF Chimera package.<sup>84</sup> The final  $\Delta$ N-Vps4p, full-length Vps4p, and Vta1p–Vps4p structures will be deposited in the Electron Microscopy Database.

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## Supplementary Data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.jmb.2008.01.009

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